Case No.: 58294US006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Котсніск, Келти М.				
Application No.:	10/828693	Confirmation No.:	5394		
Filed:	April 21, 2004				
Title:	STRUCTURED TRANSFL	ECTORS FOR ENHANCED AMBIEN N OF TRANSMISSIVE LIQUID CRYS			
Information Disclosure Statement					
	CERTIFI	CATE OF MAILING OR TRANSMISSION	[37 CFR § L8(a)]		
Mail Stop: Amendment		int this correspondence is being:	, , , , , , ,		
Commissioner for Pater P.O. Box 1450	its D deposited was sufficient p	hith the United States Postal Service on the date instage as first class mail in an envelope address:  3. Box 1450, Alexandria, VA 22313-1450.			
Alexandria, VA 22313-	-1450	by facsimile on the date shown below to the Un Office at 571-273-8300.	ited States Patent and		
		to United States Patent and Trademark Office of	in the date shown below		
	Via the Oni	ce electronic filing system  Signed by: Sara N. Zieniek	<u>untru</u>		
Dear Sir:	man //				
Pursuant to 37 C	FR §§ 1.56, 1.97, and 1	98, enclosed is a completed For	m PTO-1449,		
citing references submit	ted for consideration by	the Examiner. It is respectfully	requested that the		
Examiner initial and ret	urn the enclosed Form P	TO-1449 to indicate that each re	eference has been		
considered.					
		<u>Fees</u>			
It is believed the Account No. 13		the event a fee is required, please char	ge the fee to Deposit		
fees are not or	The fee required under 37 CFR § 1.17(p) will be paid at the time of EFS-Web submission. In the event fees are not or cannot be paid at the time of EFS-Web submission, please charge any fees under 37 CFR § 1.17 which may be required to Deposit Account No. 13-3723.				
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### Certification Under 37 § CFR 1.97(e)(1)

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

### Statement Under 37 § CFR 1.704(d)

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

### **Copies of Documents**

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Respectfully submitted,

Date

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Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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Application Number	10/828693
Filing Date	Aprìl 21, 2004
First Named Inventor	Kotchick, Keith M.
Confirmation Number	5394
Attorney Case Number	58294US006

U.S. Patent Documents					
Exam. Cite Init.* No.	Document Number	Publication Date	Name of Patentee	Pages, Columns, Lines, Where Relevant Passages or Relevant	
	No.	Doc. Number-(Kind Code if Known)	MM-DD-YYYY	or Applicant of Cited Document	Figures Appear
	A1	US- 2003/0020856	01/30/2003	Furuhashi et al.	
	A2	US- 6,429,983	08/06/2002	Moisen	
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	A4	US-			
	A5	US-			
***************************************	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			
	A11	US-			
	A12	US-			

	Foreign Patent Documents						
Exam.	Cite	Foreign Patent Document		Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
Init.*	No.	Ctry. Code	Number-KindCode (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	or Retevent Figures Appear	(Check if yes)
	B1						
	B2						
	<b>B</b> 3						
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	85						
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	<b>B</b> 7						

OTHER DOCUMENTS				
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